

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Housing Authority of the City of Buford</u> PHA Code: <u>091</u> PHA Type: <input checked="" type="checkbox"/> Small <input type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2010</u>					
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>189</u> Number of HCV units: _____					
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
	PHA 1:					
	PHA 2:					
	PHA 3:					
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.					
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: See Attachments					
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. See Attachments					
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. See Attachments					
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable.					
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.					
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.					
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.					
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.					
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.					

9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.
10.0	Additional Information. Describe the following, as well as any additional information HUD has requested. <ul style="list-style-type: none"> (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”
11.0	Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office. <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: **(i)** A description of the need for measures to ensure the safety of public housing residents; **(ii)** A description of any crime prevention activities conducted or to be conducted by the PHA; and **(iii)** A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:
<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

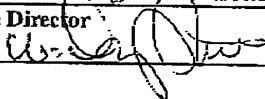
11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary

PHA Name: Housing Authority of the City of Buford		Grant Type and Number Capital Fund Program Grant No. GA05P09150107 Replacement Housing Factor No. Date of CFFP:	FFY of Grant: 2007 FFY OF Grant Approval:
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Revised for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Program Year Ending: 09/30/09		<input checked="" type="checkbox"/> Revised Annual Statement/Revision Number 2 <input type="checkbox"/> Final Performance and Evaluation Report	

Line No.	Summary by Development Account	Total Estimated Cost		Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CFF Funds				
2	1406 Operations (may not exceed 20% of Line 20)	\$27,266.00	\$27,266.00	\$27,266.00	\$27,266.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$0.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$22,785.00	\$22,785.00	\$22,785.00	\$4,132.11
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$43,465.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$190,512.00	\$233,977.00	\$233,977.00	\$115,954.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$1.00
16	1495.1 Rebate Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service Paid by PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization of Debt Service Paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$284,028.00	\$284,028.00	\$284,028.00	\$147,352.11
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$5,000.00	\$5,000.00	\$5,000.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00
Signature of Executive Director: 		Date: 03-23-2010	Signature of Public Housing Director: _____		
			Date: _____		

Part II: Supporting Pages								
PHA Name: The Housing Authority of The City of Buford, GA		Grant Type and Number Capital Fund Program Grane No: GA06P09150107 CFFP (Yes/No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007 P&E 9/30/09, Rev. 2			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
	OPERATIONS							
PHA-Wide	Operations	1406	186	\$27,266.00	\$27,266.00	\$27,266.00	\$27,266.00	Completed
	SUBTOTAL			\$27,266.00	\$27,266.00	\$27,266.00	\$27,266.00	
	FEES AND COSTS							
GA091-1	a. Architectural fees to prepare bid and	1430.1	50	\$4,464.45	\$4,464.45	\$4,464.45	\$4,132.11	Obligated
GA091-2	contract documents, drawings	1430.1	20	\$1,818.85	\$1,818.85	\$1,818.85	\$0.00	Obligated
GA091-3	specification and assist the PHA at bid	1430.1	12	\$992.10	\$992.10	\$992.10	\$0.00	Obligated
GA091-4	opening, awarding the contract, and	1430.1	4	\$330.70	\$330.70	\$330.70	\$0.00	Obligated
GA091-5	supervise the construction work on a	1430.1	18	\$1,653.50	\$1,653.50	\$1,653.50	\$0.00	Obligated
GA091-6	periodic basis. Fee to be negotiated.	1430.1	12	\$992.10	\$992.10	\$992.10	\$0.00	Obligated
GA091-7	Contract labor.	1430.1	70	\$6,283.30	\$6,283.30	\$6,283.30	\$0.00	Obligated
	Subtotal			\$16,535.00	\$16,535.00	\$16,535.00	\$4,132.11	
PHA-Wide	b. Consultant Fees to assist with the	1430.2	186	\$1,250.00	\$1,250.00	\$1,250.00	\$0.00	Obligated
	preparation and submittal of required							
	Agency Plans. Fees to be negotiated.							
	Contract Labor. Subtotal			\$1,250.00	\$1,250.00	\$1,250.00	\$0.00	
PHA - Wide	c. Consultant Fees to assist with	1430.2	186	\$5,000.00	\$5,000.00	\$5,000.00	\$0.00	Obligated
	preparation and submittal of 504							
	Handicap Needs Assessment. Fees to							
	be negotiated. Contract Labor.							
	Subtotal			\$5,000.00	\$5,000.00	\$5,000.00	\$0.00	
	SUBTOTAL			\$22,785.00	\$22,785.00	\$22,785.00	\$4,132.11	

Part I: Summary								
PHA Name: The Housing Authority of The City of Buford		Grant Type and Number Capital Fund Program Grane No: GA06P09150107 CFFP (Yes/No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007 P&E 9/30/09, Rev. 2			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
	SITE IMPROVEMENTS							
PHA-Wide	Add paving w/fung. From 2007 5-yr. Action Plan	1450	186	\$43,465.00	\$0.00	\$0.00	\$0.00	deleted
	SUBTOTAL			\$43,465.00	\$0.00	\$0.00	\$0.00	
	DWELLING STRUCTURES							
PHA-Wide	a. Renovate Bathrooms (Phase 4)	1460	186	\$190,512.00	\$172,072.67	\$172,072.67	\$115,433.12	In Progress
	b. Termite Treatments	1460	186	\$0.00	\$61,383.45	\$61,383.45	\$0.00	Obligated
	c. Kitchen Renovations (added by fung. From 2005)	1460	186	\$0.00	\$520.88	\$520.88	\$520.88	Completed
	SUBTOTAL			\$190,512.00	\$233,977.00	\$233,977.00	\$115,954.00	
	GRAND TOTAL			\$284,028.00	\$284,028.00	\$284,028.00	\$147,352.11	

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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

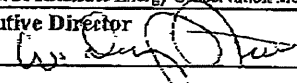
U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the City of Buford	Grant Type and Number Capital Fund Program Grant No. GA06P09150108 Replacement Housing Factor No. Date of CFFP:	FFY of Grant: 2008 FFY OF Grant Approval:
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☐ Original Annual Statement ☐ Revised for Disasters/Emergencies ☐ Revised Annual Statement/Revision Number 1
☒ Performance and Evaluation Report for Program Year Ending 9/30/09 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CFF Funds				
2	1406 Operations (may not exceed 20% of Line 20)	\$29,130.00	\$29,130.00	\$29,130.00	\$29,130.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$0.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$18,350.00	\$18,350.00	\$18,350.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$238,150.00	\$238,150.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonextendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service Paid by PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9400 Collateralization of Debt Service Paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (may not exceed 3% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant (sum of lines 2 - 19)	\$285,630.00	\$285,630.00	\$47,480.00	\$29,130.00
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

Signature of Executive Director 	Date 03-23-2010	Signature of Public Housing Director	Date
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Part II: Supporting Pages								
PHA Name: The Housing Authority of The City of Buford, GA		Grant Type and Number Capital Fund Program Grane No: GA06P09150108 CFFP (Yes/No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008 P&E 9/30/09			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
	OPERATIONS							
PHA-Wide	Operations	1406	186	\$29,130.00	\$29,130.00	\$29,130.00	\$29,130.00	Completed
	SUBTOTAL			\$29,130.00	\$29,130.00	\$29,130.00	\$29,130.00	
	FEES AND COSTS							
GA091-1	a. Architectural fees to prepare bid and	1430.1	50	\$4,549.50	\$4,549.50	\$4,549.50		Negotiating
GA091-2	contract documents, drawings	1430.1	20	\$1,853.50	\$1,853.50	\$1,853.50		Negotiating
GA091-3	specification and assist the PHA at bid	1430.1	12	\$1,011.00	\$1,011.00	\$1,011.00		Negotiating
GA091-4	opening, awarding the contract, and	1430.1	4	\$337.00	\$337.00	\$337.00		Negotiating
GA091-5	supervise the construction work on a	1430.1	18	\$1,685.00	\$1,685.00	\$1,685.00		Negotiating
GA091-6	periodic basis. Fee to be negotiated.	1430.1	12	\$1,011.00	\$1,011.00	\$1,011.00		Negotiating
GA091-7	Contract labor.	1430.1	70	\$6,403.00	\$6,403.00	\$6,403.00		Negotiating
	Subtotal			\$16,850.00	\$16,850.00	\$16,850.00	\$0.00	
PHA-Wide	b. Consultant Fees to assist with the	1430.2	186	\$1,500.00	\$1,500.00	\$1,500.00		Obligated
	preparation and submittal of required							
	Agency Plans. Fees to be negotiated.							
	Contract Labor. Subtotal			\$1,500.00	\$1,500.00	\$1,500.00	\$0.00	
	SUBTOTAL			\$18,350.00	\$18,350.00	\$18,350.00	\$0.00	
AMP-001	DWELLING STRUCTURES							
old site 01	Partition & re-insulate attic. Replace	1460	53	\$238,150.00	\$238,150.00	\$0.00		No Progress
	remaining plaster ceilings.							
	SUBTOTAL			\$238,150.00	\$238,150.00	\$0.00	\$0.00	
	GRAND TOTAL			\$285,630.00	\$285,630.00	\$47,480.00	\$29,130.00	

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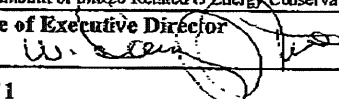
Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the City of Buford	Grant Type and Number: Capital Fund Program Grant No. GA06P09150109 Replacement Housing Factor No. Date of CFFP:	FFY of Grant: 2009 FFY OF Grant Approval:
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☐ Original Annual Statement ☐ Revised for Disasters/Emergencies ☒ Revised Annual Statement/Revision Number 1
☒ Performance and Evaluation Report for Program Year Ending 09/30/09 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	0100 Reserved Budget	\$198,897.00	\$0.00		
2	1406 Operations (may not exceed 23% of Line 20)	\$0.00	\$30,630.00	\$0.00	\$0.00
3	1408 Management Improvements	\$56,828.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$28,414.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$0.00	\$22,000.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$0.00	\$86,000.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$0.00	\$145,509.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service Paid by PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization of Debt Service Paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (may not exceed 3% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$284,139.00	\$284,139.00	\$0.00	\$0.00
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00
Signature of Executive Director		Date		Signature of Public Housing Director	
		05-23-2010			

Part II: Supporting Pages

PHA Name: The Housing Authority of The City of Buford, GA		Grant Type and Number Capital Fund Program Grane No: GA06P09150109 CFFP (Yes/No): NO Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009 P&E 9/30/09, Revision 1		
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
	RESERVED BUDGET							
PHA - Wide	Reserved Budget	100	186	\$198,897.00	\$0.00	\$0.00	\$0.00	
	SUBTOTAL			\$198,897.00	\$0.00	\$0.00	\$0.00	
	OPERATIONS							
PHA-Wide	Operations	1406	186	\$0.00	\$30,630.00	\$0.00	\$0.00	
	SUBTOTAL			\$0.00	\$30,630.00	\$0.00	\$0.00	
	MANAGEMENT IMPROVEMENTS							
PHA - Wide	Management Improvements	1408	186	\$56,828.00	\$0.00	\$0.00	\$0.00	
	SUBTOTAL			\$56,828.00	\$0.00	\$0.00	\$0.00	
	ADMINISTRATION							
PHA - Wide	Administration	1410	186	\$28,414.00	\$0.00	\$0.00	\$0.00	
	SUBTOTAL			\$28,414.00	\$0.00	\$0.00	\$0.00	
	FEES AND COSTS							
PHA-Wide	a. Architectural fees to prepare bid and	1430.1	186	\$0.00	\$20,000.00	\$0.00	\$0.00	
	contract documents, drawings							
	specification and assist the PHA at bid							
	opening, awarding the contract, and							
	supervise the construction work on a							
	periodic basis. Fee to be negotiated.							
	Contract labor.							
	Subtotal			\$0.00	\$20,000.00	\$0.00	\$0.00	

Part I: Summary								
PHA Name: The Housing Authority of The City of Buford			Grant Type and Number Capital Fund Program Grane No: GA06P09150109 CFFP (Yes/No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009 P&E 9/30/09, Revision 1		
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
PHA-Wide	b. Consultant Fees to assist with the	1430.2	186	\$0.00	\$2,000.00	\$0.00	\$0.00	
	preparation and submittal of required							
	Agency Plans. Fees to be negotiated.							
	Contract Labor. Subtotal			\$0.00	\$2,000.00	\$0.00	\$0.00	
	SUBTOTAL			\$0.00	\$22,000.00	\$0.00	\$0.00	
AMP-001	SITE IMPROVEMENTS							
old site 7	a. Provide ramps at 1 BR units	1450	14	\$0.00	\$14,000.00	\$0.00	\$0.00	
(old sites 5,	b. Add parking spaces (6 @ old site 5,	1450	36	\$0.00	\$72,000.00	\$0.00	\$0.00	
6, and 7)	8 @ old site 6, and 22 @ old site 7)							
	SUBTOTAL			\$0.00	\$86,000.00	\$0.00	\$0.00	
	DWELLING STRUCTURES							
AMP-001	a. Convert one unit at each site	1460	4	\$0.00	\$72,000.00	\$0.00	\$0.00	
(2, 3, 5, & 6)	to handicap.							
old site 2	b. Partition & re-insulate attic.	1460	20	\$0.00	\$73,509.00	\$0.00	\$0.00	
	Replace plaster ceilings							
	SUBTOTAL			\$0.00	\$145,509.00	\$0.00	\$0.00	
	GRAND TOTAL			\$284,139.00	\$284,139.00	\$0.00	\$0.00	

[illegible]

Part I: Summary	
PHA Name:	Housing Authority of the City of Buford
Grant Type and Number	Capital Fund Program Grant No. GA66P9150110
FFY of Grant	2010
FFY OF Grant Approval	

<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Revised for Disasters/Emergencies
<input type="checkbox"/> Performance and Evaluation Report for Program Year Ending	<input type="checkbox"/> Final Performance and Evaluation Report
<input type="checkbox"/> Revised Annual Statement/Revision Number	

Line No.	Summary by Development Account	Original	Revised (1)	Obligated	Actual Cost (2)	Expend
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1	Total Non-CFF Funds					
2	1406 Operations (may not exceed 20% of Line 30)	\$39,630.00	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of Line 21)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$22,200.00	\$0.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$231,109.00	\$0.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service Paid by PHA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
18ba	5000 Collateralization of Debt Service Paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
15	1502 Contingency (may not exceed 8% of Line 20)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of Annual Grant (sum of lines 2 - 19)	\$284,139.00	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of Line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of Line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of Line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of Line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Signature of Executive Director *[Signature]* Date *03-13-2010*
Signature of Public Housing Director _____ Date _____

Part II: Supporting Pages

PHA Name:	The Housing Authority of The City of Buford, GA	Grant Type and Number Capital Fund Program Grant No: GA06P09150109 CFFP (Yes/No): NO Replacement Housing Factor Grant No:	Federal FFY of Grant: 2009	2010 Original Annual Statement

Development Number/Name	Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost	Revised	Obligated	Expended	Status of Proposed Work

OPERATIONS									
PHA-Wide		Operations	1406	186	\$30,630.00	\$0.00	\$0.00	\$0.00	
SUBTOTAL									
					\$30,630.00	\$0.00	\$0.00	\$0.00	
FEES AND COSTS									
PHA-Wide		a. Architectural fees to prepare bid and	1430.1	186	\$20,000.00	\$0.00	\$0.00	\$0.00	
		contract documents, drawings							
		specification and assist the PHA at bid							
		opening, awarding the contract, and							
		supervise the construction work on a							
		periodic basis. Fee to be negotiated.							
		Contract labor.							

PHA-Wide		b. Consultant Fees to assist with the	1430.2	186	\$2,000.00	\$0.00	\$0.00	\$0.00	
		preparation and submittal of required							
		Agency Plans. Fees to be negotiated.							
		Contract Labor.			\$22,000.00	\$0.00	\$0.00	\$0.00	
SUBTOTAL									
		DWELLING STRUCTURES							

AMP-001		Partition & re-insulate attic. Replace	1460	46	\$231,509.00	\$0.00	\$0.00	\$0.00	
		old sites 3,			\$231,509.00	\$0.00	\$0.00	\$0.00	
		plaster ceilings.							
SUBTOTAL									
		4, 5, & 6							

		GRAND TOTAL			\$284,139.00	\$0.00	\$0.00	\$0.00	
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Federal FY of Grant 2009

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Dates)	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	Reasons for Revised Target Dates
2010 Original Annual Statement						

[illegible]

Part I: Summary

PHA Name/Number		The Housing Authority of The City of Buford;		Buford, GA / GA091	
Development		Year 1		GA 091	
Number / Name / HA-Wide		FFY: 2011		FFY: 2012	
Work Statement for Year 2		Work Statement for Year 3		Work Statement for Year 4	
FFY: 2013		FFY: 2014		FFY: 2015	

2010

Physical Improvements Subtotal	\$228,509.00	\$228,509.00	\$228,509.00	\$228,509.00
Management Improvements Subtotal	\$0.00	\$0.00	\$0.00	\$0.00
Nondwelling Structures and Equipment	\$0.00	\$0.00	\$0.00	\$0.00
Administration	\$0.00	\$0.00	\$0.00	\$0.00
Other	\$25,000.00	\$25,000.00	\$25,000.00	\$25,000.00
Operations	\$30,630.00	\$30,630.00	\$30,630.00	\$30,630.00
Demolition	\$0.00	\$0.00	\$0.00	\$0.00
Development	\$0.00	\$0.00	\$0.00	\$0.00
Capital Fund Financing -	\$0.00	\$0.00	\$0.00	\$0.00
Debt Service	\$284,139.00	\$284,139.00	\$284,139.00	\$284,139.00
Total CFP Funds (Est.)	\$0.00	\$0.00	\$0.00	\$0.00
Total Non-CFP Funds	\$284,139.00	\$284,139.00	\$284,139.00	\$284,139.00
Grand Total	\$284,139.00	\$284,139.00	\$284,139.00	\$284,139.00

Capital Fund Program ---- Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary (Continuation)

PHA Name/Number		Locality (City/County & State)		<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development	Number / Name / HA-Wide	Year 1	FFY Grant: 20 PHA FFY: 20	Work Statement for Year 2	FFY Grant: 20 PHA FFY: 20
				Work Statement for Year 3	FFY Grant: 20 PHA FFY: 20
				Work Statement for Year 4	FFY Grant: 20 PHA FFY: 20
				Work Statement for Year 5	FFY Grant: 20 PHA FFY: 20
Physical Improvements Subtotal					
Management Improvements Subtotal					
Nondwelling Structures and Equipment					
Administration					
Other					
Operations					
Demolition					
Development					
Capital Fund Financing -					
Debt Service					
Total CFP Funds (Est.)					
Total Non-CFP Funds					
Grand Total					

Annual
Statement

Capital Fund Program ----- Five-Year Action Plan

Work Statement for Year 1 FFY 2010		See Annual Statement			
Development Number/Name	General Description of Major Work Category	AMP-001 (old site 7)	Partition & re-insulate attic. Replace shingles. Add "gutter guard" system.		
Quantity		44			
Estimated Cost		\$228,509.00			
Work Statement for Year 2 FFY 2011					
Development Number/Name	General Description of Major Work Category	AMP-001 (old site 7)	Partition & re-insulate attic. Replace shingles. Add "gutter guard" system.		
Quantity		26			
Estimated Cost		\$134,446.00			
Work Statement for Year 3 FFY 2012					
Development Number/Name	General Description of Major Work Category	AMP-001 (old site 1)	Provide high-low combustion air to water heaters		
Quantity		53			
Estimated Cost		\$55,063.00			
Work Statement for Year 3 FFY 2012					

Part II: Supporting Pages - Physical Needs Work Statements

Work Statement for Year 1 FFY		Work Statement for Year 4 FFY 2013		Work Statement for Year 5 FFY 2014	
Development Number/Name General Description of Major Work Category	Quantity	Development Number/Name General Description of Major Work Category	Quantity	Development Number/Name General Description of Major Work Category	Quantity
AMP-001 (old site 1) Provide smoke detectors and carbon monoxide detectors per code compliance. Provide Arc Fault Circuit Interruption Breakers for bedroom circuits per code compliance. Additional power, telephone, and television outlets.	53	AMP-001 (old site 2, 3, 4, & 5) Provide smoke detectors and carbon monoxide detectors per code compliance. Provide Arc Fault Circuit Interruption Breakers for bedroom circuits per code compliance. Additional power, telephone, and television outlets.	54		

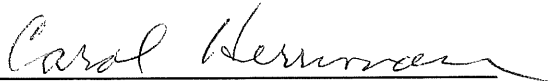
Part III: Supporting Pages - Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2010			See Annual Statement		
Development Number/Name			N/A	Subtotal of Estimated Costs	
General Description of Major Work Category					
Quantity					
Estimated Cost					
Work Statement for Year 2 FFY 2011			Work Statement for Year 3 FFY 2012		
Development Number/Name			N/A	Subtotal of Estimated Costs	
General Description of Major Work Category					
Quantity					
Estimated Cost					

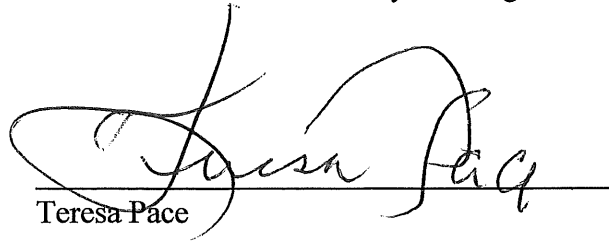
Capital Fund Program ---- Five-Year Action Plan

Part III: Supporting Pages - Management Needs Work Statement(s)									
Work Statement for Year 3					Work Statement for Year 4				
Development		Development		Estimated Cost	Development		Development		Estimated Cost
Number/Name	General Description of	Number/Name	General Description of		Number/Name	General Description of	Number/Name	General Description of	
Year 1 FFY	2009	Year 1 FFY	2009		Year 1 FFY	2009	Year 1 FFY	2009	
Major Work Category		Major Work Category			Major Work Category		Major Work Category		
N/A		N/A			N/A		N/A		
Subtotal of Estimated Costs		Subtotal of Estimated Costs		\$0.00	Subtotal of Estimated Costs		Subtotal of Estimated Costs		\$0.00

The Resident Advisory Board of the Housing Authority of the City of Buford, Georgia is composed of the current officers (President and Secretary) of the Resident Association. The Five Year and Annual Plan for Fiscal Year beginning April 1, 2010, has been made available to the Resident Advisory Board and they have read and reviewed the documents as evidenced by their signatures below.

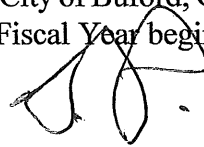


Carol Herriman



Teresa Pace

The Resident Advisory Board of the Housing Authority of the City of Buford, Georgia, recommend the following changes to the Five Year and Annual Plan for Fiscal Year beginning April 1, 2010.



Violence Against Women

Women are more likely to be victimized by someone with whom they are intimate, commonly called Intimate Partner Violence or IPV. Violence is not simply physical acts but usually also includes psychological and verbal abuse which are much more insidious and difficult to prove but just as devastating to the victim as physical harm. The victim often holds a low self-worth and is made to feel that the abuse is deserved punishment for her own shortcomings. The victim often thinks that the abuse is her fault because if she were a better person, smarter, more talented, or more gifted in a variety of endeavors it would not be required that she be attacked by her tormentor.

The violence perpetrated against the victim most commonly is brought about by a husband or boyfriend but it may also be from a brother, father or other close male relative. Less frequently, violence may be directed from one woman to another or by children of any age, either male or female, attacking their mother. The victim often loves or believes that she loves her oppressor and will shield the antagonist and prevent successful prosecution. Too often when it is believed that the woman is being mistreated violently, the victim takes up for the abuser and defends him.

Violence against women is a crime and one for which the Buford Housing Authority will whenever possible positively respond to the benefit of the victim. The Housing Authority can and will draw up a Banning Order against a perpetrator of violence and should the individual return to Housing Authority properties he can be arrested and prosecuted for Public Trespass. The Housing Authority has taken this step several times in the past to promote safe and quiet neighborhoods and prevent the abuse of female residents and their children. In this situation the Housing Authority takes a proactive position with the result that the victim or victims if children are involved remain in quiet, decent and safe surroundings in which to live their lives without fear of the individual who has victimized them in the past.

PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011
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**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ~~X~~ 5-Year and/or ~~X~~ Annual PHA Plan for the PHA fiscal year beginning 04-01-2011 (hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites, and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of
Buford, Georgia

PHA Name

GA091

PHA Number/HA Code

X 5-Year PHA Plan for Fiscal Years 20 10 - 20 14
X Annual PHA Plan for Fiscal Years 20 10 - 20 11

I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Don Pihle	CHAIRMAN
Signature	Date
Don Pihle	03-17-2010

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Housing Authority of the City of Buford, Georgia
Applicant Name

PUBLIC HOUSING
Program/Activity Receiving Federal Grant Funding

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

ATTACHED

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official <u>W. DORSEY STANLEY</u>	Title <u>Executive Director</u>
Signature <u>[Signature]</u>	Date <u>03-17-2010</u>

Housing Authority of the City of Buford, Georgia
2050 Hutchins Street
Buford, Georgia 30518

Public Housing/HUD

Drug-Free Workplace
Sites for Work Performance

Location	Address	City	County	State	Zip
Main Office	2050 Hutchins Street	Buford	Gwinnett	Georgia	30518
GA091-01	109-229 East Park and 150 Harris Streets	Buford	Gwinnett	Georgia	30518
GA091-02	810-850 Forrest Street	Buford	Gwinnett	Georgia	30518
GA091-03	3565 South Street	Duluth	Gwinnett	Georgia	30096
GA091-04	3380 Church Street	Duluth	Gwinnett	Georgia	30096
GA091-05	101-702 Hall Place	Sugar Hill	Gwinnett	Georgia	30518
GA091-06	101-402 Reed Boulevard	Flowery Branch	Hall	Georgia	30542
GA091-07	101-320 Circle View Drive and 701-408 Trail View Drive	Buford	Gwinnett	Georgia	30518

**Certification of Payments
to Influence Federal Transactions**U.S. Department of Housing
and Urban Development
Office of Public and Indian HousingHousing Authority of the City of Buford, Georgia
Applicant NamePublic Housing
Program/Activity Receiving Federal Grant Funding

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

W. DORSEY STANGL

Title

Executive Director

Signature

W. Dorsey Stangel

Date (mm/dd/yyyy)

03-17-2010

Previous edition is obsolete

form HUD 50071 (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____ if known: Housing Authority of the City of Buford, Georgia 2050 Rutledge St. Buford, Ga Congressional District, if known: 40 30518	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: HUD	7. Federal Program Name/Description: Public Housing CFDA Number, if applicable:	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): NA	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): NA	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>W. Dorsey Stancil</u> Print Name: <u>W. DORSEY STANCIL</u> Title: <u>Executive Director</u> Telephone No.: <u>770-945-5212</u> Date: <u>03-17-2010</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the City of
PHA Name Buford, Georgia

624091
PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official <u>DAN PIRKLE</u>	Title <u>CHAIRMAN</u>
Signature <u>Dan Pirkle</u>	Date <u>03-17-2010</u>

ATTACHMENTS

5.1-Mission

- ☒ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

5.2-Goals

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- ☐ PHA Goal: Expand the supply of assisted housing
Objectives:
 - ☐ Apply for additional rental vouchers:
 - ☐ Reduce public housing vacancies
 - ☐ Leverage private or other public funds to create additional housing opportunities:
 - ☐ Acquire or build units or developments
 - ☐ Other: (list below)
- ☒ PHA Goal: Improve the quality of assisted housing
Objectives:
 - ☐ Improve public housing management: (PHAS score)
 - ☐ Improve voucher management (SEMAP score)
 - ☐ Increase customer satisfaction:
 - ☐ Concentrate on efforts to improve specific management functions:
(List; e.g., public housing finance; voucher unit inspections)
 - ☒ Renovate or modernize public housing units: **Expend seventy five percent of yearly capital funds to modernize dwelling structures.**
 - ☐ Demolish and dispose of obsolete public housing:
 - ☐ Provide replacement public housing:
 - ☐ Provide replacement vouchers:
 - ☐ Other: (list below)
- ☐ PHA Goal: Increase assisted housing choices
Objectives
 - ☐ Provide voucher mobility counseling:
 - ☐ Conduct outreach efforts to potential voucher landlords
 - ☐ Increase voucher payment standards
 - ☐ Implement voucher home ownership programs:
 - ☐ Implement public housing site-based waiting lists:
 - ☐ Convert public housing to vouchers:
 - ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- ☒ PHA Goal: Provide an improved living environment
Objectives:
 - ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - ☐ Implement public housing security improvements
 - ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - ☐ Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals.

- ☒ PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives
 - ☐ Increase the number and percentage of employed persons in assisted families:
 - ☐ Provide or attract supportive services to improve assistance recipients' employability:
 - ☐ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - ☒ Other: (list below)
Provide semi-annual workshops or provide literature to promote self sufficiency and asset development.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- ☐ PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives
 - ☐ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex familial status, and disability:
 - ☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability.
 - ☐ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - ☐ Other: (list below)

Other PHA Goals and Objectives: (list below)

6.0 (1)-Eligibility, Selection and Admissions Policies, Deconcentration & Waiting List Procedures

[24 CFR Part 903.12(b), 903.7(b)]

Public Housing

(1) Eligibility

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- ☐ When families are within a certain number of being offered a unit: (state number)
 - ☐ When families are within a certain time of being offered a unit: (state time)
 - ☒ Other: (describe) **At the time of application & again prior to admission.**
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- ☒ Criminal or Drug-related activity
 - ☒ Rental history
 - ☐ Housekeeping
 - ☐ Other (describe)
- c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. ☐ Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
- ☒ Community-wide list
 - ☐ Sub-jurisdictional lists
 - ☐ Site-based waiting lists
 - ☐ Other (describe)
- b. Where may interested persons apply for admission to public housing?
- ☒ PHA main administrative office
 - ☐ PHA development site management office
 - ☐ Other (list below)
- c. Site-Based Waiting Lists-Previous Year
1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to d. ☐ Yes ☒ NO

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time? _____
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? _____
4. ☐ Yes ☐ No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement, or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement, or complaint below:

d. Site-Based Waiting Lists - Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**.

1. How many site-based waiting lists will the PHA operate in the coming year? **None**
2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. ☐ Yes ☐ No: May families be on more than one list simultaneously?
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting list (select all that apply)?
 - ☐ PHA main administrative office
 - ☐ All PHA development management offices
 - ☐ Management offices at developments with site-based waiting lists
 - ☐ At the development to which they would like to apply
 - ☐ Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (Select one)
- ☐ One
- ☒ Two
- ☐ Three or More
- b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list(s) for the PHA:

(4) Admissions Preferences

- a. Income Targeting:
- ☐ Yes ☒ No Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer Policies:
- In what circumstance will transfers take precedence over new admissions? (check all that apply)
- ☒ Emergencies
- ☒ Over-housed
- ☒ Under-housed
- ☐ Medical justification
- ☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
- ☐ Resident choice
- ☐ Other: (list below)
- c. Preferences:
1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal or other preferences)

Former Federal Preferences;

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences (select below)

- ☒ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

- ☒ Date and Time

Former Federal Preferences;

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences (select below)

- 1☒ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- 2☒ Residents who live and/or work in the jurisdiction
- 3☒ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements.

(5) Occupancy

- a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)
- ☒ The PHA-resident lease
 - ☒ The PHA's Admissions and (Continued) Occupancy policy
 - ☒ PHA briefing seminars or written materials
 - ☐ Other source (list)
- b. How often must residents notify the PHA of changes in family composition? (select all that apply)
- ☒ At an annual reexamination and lease renewal
 - ☒ Any time family composition changes
 - ☐ At family request for revision
 - ☐ Other (list)

(6) Deconcentration and Income Mixing

- a. ☒ Yes ☐ No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to next question.
- b. ☐ Yes ☐ No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete. If yes, list these developments on the following table:

Deconcentration Policy for Covered Developments			
Development Name	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

6.0 (2)-Statement of Financial Resources

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories; public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2009 grants)		
a) Public Housing Operating Fund FY2009	\$602,580.25	
b) Public Housing Capital Fund FY2010	\$284,139.00	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance		
f) Resident Opportunity and Self-Sufficiency Grants		
g) Community Development Block Grant		
h) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
FY2009 ARRA	\$361,551.00	Modernization
FY 2009 CFP	\$284,139.00	Modernization
FY 2008 CFP	\$238,150.00	Modernization
FY 2006 CFP	\$250.00	Modernization
3. Public Housing Dwelling Rental Income		
FY 2009 Rental Income	\$553,914.00	Operations & Maintenance
4. Other Income (list below)	\$3,096.00	Reserves & Operations
Investment Interest	\$22,539.00	Reserves & Operations
Excess Utilities	\$10,475.00	Reserves & Operations
5. Non-federal sources (list below)		
Total Resources	\$2,360,833.25	

6.0 (3)-PHA Rent Determination Policies

[24CFR Part 903.12(b), 903.7(d)]

Public Housing

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy(ies) for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

- a. Use of discretionary policies: (select one of the following two)
- ☐ The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2)).
 - ☒ The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)
- b. Minimum Rent
1. What amount best reflects the PHA's minimum rent? (select one)
- ☐ \$0
 - ☐ \$1 - \$25
 - ☒ \$26 - \$50
2. ☒ Yes ☐ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?
3. If yes to question 2, list these policies below: **ACOP & Lease**
- c. Rents set at less than 30% of adjusted income.
1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30 % of adjusted income?
2. If yes to above, list the amounts of percentages charge and the circumstances under which these will be used below:
- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)
- ☒ For the earned income of a previously unemployed family member
 - ☒ For increases in earned income.
 - ☐ Fixed amount (other than general rent-setting policy). If yes, state amount(s) and circumstances below:
 - ☐ Fixed percentage (other than general rent-setting policy). If yes, state percentage(s) and circumstances below:
 - ☐ For household heads

- ☐ For other family members
- ☐ For transportation expenses
- ☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
- ☐ Other (describe below)

e. Ceiling Rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
 - ☒ Yes for all developments
 - ☐ Yes but only for some developments
 - ☐ No
2. For which kinds of developments are ceiling rents in place? (select all that apply)
 - ☒ For all developments
 - ☐ For all general occupancy developments (not elderly or disabled or elderly only)
 - ☐ For specified general occupancy developments
 - ☐ For certain parts of developments; e.g., the high-rise portion
 - ☐ For certain site units; e.g., larger bedroom sizes
 - ☐ Other (list below)
3. Select the space(s) that best describe how you arrive at ceiling rents (select all that apply)
 - ☐ Market comparability study
 - ☐ Fair market rents (FMR)
 - ☒ 95th percentile rents
 - ☐ 75% of operating costs
 - ☐ 100 percent of operating costs for general occupancy (family) developments
 - ☐ Operating costs plus debt service
 - ☐ The “rental value” of the unit
 - ☐ Other (list below)

f. Rent determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)
 - ☐ Never
 - ☐ At family option
 - ☐ Any time the family experiences an income increase
 - ☐ Any time a family experiences an income increase above a threshold or percentage: (if selected, specify threshold)_____
 - ☒ Other (list below) **Within 10 days of a change in family composition or family source of income.**

- g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISA's) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

- a. In setting the market-based rents, what sources of information did the PHA use to establish comparability? (select all that apply)
- ☐ The section 8 rent reasonableness study of comparable housing
 - ☐ Survey of rents listed in local newspaper
 - ☒ Survey of similar unassisted units in the neighborhood
 - ☐ Other (list/describe below)

6.0 (4)-Operation & Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(Select one)

- ☐ An organization chart showing the PHA's management structure and organization is attached.
- ☐ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below)

Program Name	Units of Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHEDEP)		
Other Federal Programs (list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals, and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

6.0 (5)-Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component: High performing PHAs are not required to complete component. Section 8-Only PHAs are exempt from sub-component A.

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing? If yes, list additions to Federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (Select all that apply)
- ☒ PHA main administrative office
 - ☐ PHA development management offices
 - ☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☐ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to Federal requirements found at 24 CFR982? If yes, list addition to Federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing process? (Select all that apply)
- ☐ PHA main administrative office
 - ☐ Other (list below)

6.0 (6)-Designated Housing for Elderly and Disabled Families

[24 CFR Part 903.7 9 (I)]

Exemptions for Component; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the US Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “Yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)
2. Activity Description
☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development Name: 1b. Development (project) Number:
2. Designation Type: <input type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families and disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Applicant Status <input type="checkbox"/> Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation approved, submitted, or planned for submission:
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the Development <input type="checkbox"/> Total Development

6.0 (7)-Community Service and Self Sufficiency

[24 CFR Part 903.7 9 (1)]

Exemptions from component: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- ☐ Yes ☐ No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)? If yes, what was the date that the agreement was signed? _____

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☐ Client referrals
- ☐ Information sharing regarding mutual clients (for rent determinations and otherwise)
- ☐ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- ☐ Jointly administer programs
- ☐ Partner to administer a HUD Welfare-to-Work voucher program
- ☐ Joint administration of other demonstration program
- ☐ Other (describe)

B. Services and Programs Offered to Residents and Participants

1. General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (Select all that apply)

- ☐ Public housing rent determination policies
- ☐ Public housing admission policies
- ☐ Section 8 admission policies
- ☐ Preference in admission to Section 8 for certain public housing families
- ☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☐ Preference/eligibility for public housing home ownership option participation.
- ☐ Preference/eligibility for Section 8 home ownership option participation
- ☐ Other policies (list below)

b. Economic and Social Self-Sufficiency Programs

☐ Yes ☐ No: Does the PHA coordinate, promote, or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to the sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list / random selection / specific criteria / other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or Section 8 participants or both)

2. Family Self Sufficiency Program(s)

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (as of (date) _____)
Public Housing		
Section 8		

b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size? If no list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the US Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
 - ☐ Adopting appropriate changes to the PHAs public housing rent determination policies and train staff to carry out those policies.
 - ☐ Informing residents of new policy on admission and reexamination
 - ☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
 - ☐ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - ☐ Establishing a protocol for exchange of information with all appropriate TANF agencies.
 - ☐ Other (list below)

6.0 (8)-Safety and Crime Prevention

[24 CFR Part 903.7 9 (m)]

Exemptions from component: High performing and small PHAs may skip the component

A. Need For Measures to Ensure the Safety of Public Housing Residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)
 - ☐ High incidence of violent and /or drug-related crime in some or all the PHA's developments.
 - ☐ High incidence of violent and /or drug-related crime in the areas surrounding or adjacent to the PHA's developments.
 - ☐ Residents fearful for their safety and/or the safety of their children
 - ☐ Observed lower-level crime, vandalism, and/or graffiti
 - ☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and /or drug-related crime.
 - ☐ Other (describe below)
2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).
 - ☐ Safety and security survey of residents.
 - ☐ Analysis of crime statistics over time for crimes committed "in and around" public housing authority developments
 - ☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti.
 - ☐ Resident reports
 - ☐ PHA employee reports
 - ☐ Police reports
 - ☐ Demonstrable, quantifiable success with previous or ongoing anti-crime/anti-drug programs.
 - ☐ Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year.

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)
- ☐ Contracting with outside and/or resident organizations for the provision of crime and/or drug-prevention activities.
 - ☐ Crime Prevention Through Environmental Design
 - ☐ Activities targeted to at-risk youth, adults, or seniors
 - ☐ Volunteer Resident Patrol/Block Watchers Program
 - ☐ Other (describe below)
2. Which developments are affected (List below).

C. Coordination between PHA and the police.

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)
- ☐ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan.
 - ☐ Police provide crime data to housing authority staff for analysis and action.
 - ☐ Police have established a physical presence on housing authority property (e.g. community policing officer, officer in residence).
 - ☐ Police regularly testify in and otherwise support eviction cases.
 - ☐ Police regularly meet with the PHA management and residents
 - ☐ Agreement between PHA and local law enforcement agency for provision of above -baseline law enforcement services.
 - ☐ Other (list below)
2. Which developments are most affected (list below).

6.0 (9)-Pets

Pet Policy is on file at PHA for review.

6.0 (10)-Civil Rights Certifications

[24 CFR Part 903.12 (b), 903.7 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year /Annual Plans, which is submitted to the Field Office in hard copy. See table of contents.

6.0 (11)-Fiscal Year Audit

[24 CFR Part 903.7 9 (p)]

1. ☐ Yes ☒ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 USC 1437c(h))? If no skip to component 17.
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☒ No: Were there any findings as the result of that audit?
4. ☐ Yes ☐ No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? _____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

6.0 (12)-Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☐ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - ☐ Not applicable
 - ☐ Private management
 - ☐ Development-based accounting
 - ☐ Comprehensive stock assessment
 - ☐ Other: (list below)

3. ☐ Yes ☐ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Management Table?

6.0 (13)-Violence Against Women

The Housing Authority will promote and abide by the **VIOLENCE AGAINST WOMEN ACT** (VAWA) that was signed by President Bush on January 5, 2006. The Housing Authority will support or assist victims of domestic violence, dating violence, sexual assault, stalking and/or others as required by the law to prevent them from losing their HUD-assisted housing as a consequence of the abuse of which they were the victim. The Housing Authority will adhere to HUD requirements regarding the VAWA pertaining to Public Housing.

The Housing Authority will take action to protect the safety and welfare of all residents when repeated offenses occur. In the event the victim being protected shows a willingness to continue relationships with abusers, continues to have them as guests in their home and incidents continue to occur, the victim could be evicted in order to protect our other residents.

7.0 (a)-Hope VI or Mixed finance Modernization or Development

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1. ☐ Yes ☒ No: Has the PHA received a HOPE VI revitalization grant? (If no, skip to #3; if yes, provide responses to the items in the following chart. Copy and complete chart for each HOPE VI grant.)

a. Status of HOPE VI revitalization grant(s):

HOPE VI Revitalization Grant Status
1. Development Name:
2. Development Number:
3. Status of Grant: <input type="checkbox"/> Revitalization Plan under development <input type="checkbox"/> Revitalization Plan submitted <input type="checkbox"/> Revitalization Plan approved <input type="checkbox"/> Activities pursuant to an approved Revitalization Plan underway

2. ☐ Yes ☒ No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name(s) and number(s) below:

3. ☐ Yes ☒ No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan Year? If yes, list developments or activities below:

4. ☐ Yes ☒ No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual, Statement? If yes, list developments or activities below:

7.0 (b)-Demolition and/or Disposition

[24 CFR Part 903.12(b), 903.7 (h)]

Applicability of component: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 or 24 (HOPE VI) of the US Housing Act of 1937 (42 USC 1437p) or Section 202/Section 33 (Mandatory Conversion) in the plan Fiscal Year? If “No”, skip to component 7; if “Yes”, complete one activity description for each development on the following chart.)

Demolition/Disposition Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Activity Type:	
<input type="checkbox"/> Demolition	
<input type="checkbox"/> Disposition	
3. Application Status (select one)	
<input type="checkbox"/> Approved	
<input type="checkbox"/> Submitted, pending approval	
<input type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission:	_____
5. Number of units affected:	_____
6. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Timeline for Activity:	
a. Actual or projected start date of activity:	_____
b. Projected end date of activity:	_____

7.0 (c)-Conversion of Public Housing

[24 CFR Part 903.7 9 (j)]

Exemption from Component: Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act.

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "Yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "Yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a.	Development Name:
1b.	Development (project) Number:
2.	What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3.	<input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If "Yes", go to block 4; if "No", go to block 5.)
4.	Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: _____ <input type="checkbox"/> Conversion Plan approved by HUD on: _____ <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5.	Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application. Submitted or approved: (date) _____ <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application. Submitted or approved: (date) _____ <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan. Submitted or approved: (date) _____ <input type="checkbox"/> Requirements no longer applicable. Vacancy rates are less than 10%. <input type="checkbox"/> Requirements no longer applicable. Site now has less than 300 units. <input type="checkbox"/> Other: (describe below)

7.0 (d)-Homeownership

[24 CFR Part 903.12 (c), 903.7(k)(1)(i)]

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the USHA of 1937, as implemented by 24 CFR part 982? (If “No”, skip to the next component; if “Yes”, complete each program description below. Copy and complete questions for each program identified.

2. Program Description

a. Size of Program

- ☐ Yes ☐ No: Will the PHA limit the number of families participating in the Section 8 homeownership option? If “Yes”, what is the maximum number of participants this fiscal year? _____

b. PHA-Established Eligibility Criteria

- ☐ Yes ☐ No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

3. Capacity of the PHA to administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- ☐ Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family’s resources.
- ☐ Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured, or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- ☐ Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- ☐ Demonstrating that it has other relevant experience (list experience below):

7.0 (d)-Project Based Vouchers
Intent to Use Project-Based Assistance

☐ Yes ☒ No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If the answer is No, go to the next component. If Yes, answer the following questions:

1. ☐ Yes ☐ No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
 - ☐ low utilization rate for vouchers due to lack of suitable rental units.
 - ☐ access to neighborhoods outside of high poverty area.
 - ☐ other (describe below):
2. Indicate the number of units and general location of the units (e.g. eligible census tracts or smaller areas within eligible census tracts):

Part II: Supporting Pages								
PHA Name: The Housing Authority of The City of Buford, GA		Grant Type and Number Capital Fund Program Grant No: GA06P09150106 CFFP (Yes/No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006 P&E 9/30/09, Rev.5			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
	OPERATIONS							
PHA-Wide	Operations	1406	186	\$35,965.00	\$35,965.00	\$35,965.00	\$35,965.00	Completed
	Subtotal			\$35,965.00	\$35,965.00	\$35,965.00	\$35,965.00	
	FEES AND COSTS							
GA091-1	a. Architectural Fees	1430.1	50	\$4,266.00	\$4,266.00	\$4,266.00	\$4,266.00	Completed
GA091-2	Architect's fee to prepare bid and	1430.1	20	\$1,738.00	\$1,738.00	\$1,738.00	\$1,738.00	Completed
GA091-3	contract documents, drawings,	1430.1	12	\$948.00	\$948.00	\$948.00	\$948.00	Completed
GA091-4	specification, and assist the PHA	1430.1	4	\$316.00	\$316.00	\$316.00	\$316.00	Completed
GA091-5	at bid opening, awarding the contract,	1430.1	18	\$1,580.00	\$1,580.00	\$1,580.00	\$1,580.00	Completed
GA091-6	and supervise the construction work	1430.1	12	\$948.00	\$948.00	\$948.00	\$948.00	Completed
GA091-7	on a periodic basis. Fee to be	1430.1	70	\$6,004.00	\$6,004.00	\$6,004.00	\$6,004.00	Completed
	negotiated. Contract labor.							
	Subtotal			\$15,800.00	\$15,800.00	\$15,800.00	\$15,800.00	
GA091-1	b. Consultant Fees	1430.2	50	\$250.00	\$250.00	\$250.00	\$250.00	Completed
GA091-2	Hire consultant to assist with	1430.2	20	\$250.00	\$250.00	\$250.00	\$250.00	Completed
GA091-3	perparation and submittal of required	1430.2	12	\$200.00	\$200.00	\$200.00	\$200.00	Completed
GA091-4	Agency Plans. Fees to be negotiated.	1430.2	4	\$200.00	\$200.00	\$200.00	\$200.00	Completed
GA091-5	Contract Labor.	1430.2	18	\$200.00	\$200.00	\$200.00	\$200.00	Completed
GA091-6		1430.2	12	\$200.00	\$150.00	\$150.00	\$150.00	Obligated
GA091-7		1430.2	70	\$200.00	\$0.00	\$0.00	\$0.00	Obligated
	Subtotal			\$1,500.00	\$1,250.00	\$1,250.00	\$1,250.00	
	SUBTOTAL			\$17,300.00	\$17,050.00	\$17,050.00	\$17,050.00	

Part I: Summary								
PHA Name: The Housing Authority of The City of Buford, GA		Grant Type and Number Capital Fund Program Grane No: GA06P09150106 CFFP (Yes/No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006 P&E 9/30/09, Rev.5			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
	DWELLING STRUCTURES							
GA091-1	a. Replace floor tile in kitchens	1460	50	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
GA091-2		1460	20	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
GA091-3	*added back in w/fung. From 2005	1460	12	\$0.00	\$34,479.12	\$34,479.12	\$34,479.12	Completed
GA091-4		1460	4	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
GA091-5		1460	18	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
GA091-6		1460	12	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
	Subtotal			\$0.00	\$34,479.12	\$34,479.12	\$34,479.12	
GA091-1	b. Replace water lines	1460	50	\$0.00	\$0.00	\$0.00	\$0.00	Defer to 5-yr.
GA091-2		1460	20	\$0.00	\$0.00	\$0.00	\$0.00	Action Plan
	Subtotal			\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	c. Add bathroom renovations w/fung.	1460	186	\$224,214.00	\$189,984.88	\$189,984.88	\$189,734.88	In Progress
	from 2010 in the 2006 5-yr. Action							
	Plan. (Phase III)							
	Subtotal			\$224,214.00	\$189,984.88	\$189,984.88	\$189,734.88	
	SUBTOTAL			\$224,214.00	\$224,464.00	\$224,464.00	\$224,214.00	
	DEVELOPMENT ACTIVITIES							
PHA-Wide	Development Activities @ Old	1499	LS	\$0.00	\$0.00	\$0.00	\$0.00	N/A
	Hospital Property Subtotal			\$0.00	\$0.00	\$0.00	\$0.00	
	GRAND TOTAL			\$277,479.00	\$277,479.00	\$277,479.00	\$277,229.00	

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

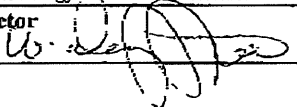
U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the City of Buford	Grant Type and Number Capital Fund Program Grant No. GA06P09150:06 Replacement Housing Factor No. Date of CFP:	FFY of Grant: 2006 FFY OF Grant Approval:
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☐ Original Annual Statement
 ☐ Revised for Disasters/Emergencies
 ☒ Revised Annual Statement/Revision Number 5
☒ Performance and Evaluation Report for Program Year Ending: 9/30/08
 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (may not exceed 20% of Line 20)	\$35,965.00	\$35,965.00	\$35,965.00	\$35,965.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$0.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$17,300.00	\$17,050.00	\$17,050.00	\$17,050.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$224,214.00	\$224,464.00	\$224,464.00	\$224,214.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service Paid by PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	2000 Collateralization of Debt Service Paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant (sum of lines 2 - 19)	\$277,479.00	\$277,479.00	\$277,479.00	\$277,229.00
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

Signature of Executive Director 	Date 03-23-2010	Signature of Public Housing Director	Date
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PHA Name: The Housing Authority of The City of Buford, GA

Federal FY of Grant 2006

P&E 9/30/09, Rev.5

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